## SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287
Estimated average bu	irden
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Thompson Daniel Mark				uer Name <b>and</b> Tick ICON LABO	0	Symbol <mark>ES INC.</mark> [ SLAB	(Check	tionship of Reportir all applicable) Director Officer (give title	10% 0	Issuer Owner (specify
(Last) 400 WEST C	(First) CESAR CHAVE2	(Middle) Z		e of Earliest Transa )/2020	action (Month/	'Day/Year)	X SI	below) R VP & GM, IN	below FRA & Autor	,
(Street)			4. If A	mendment, Date of	f Original Filed	d (Month/Day/Year)	6. Indiv Line)	vidual or Joint/Grou	p Filing (Check	Applicable
AUSTIN	TX	78701					X	Form filed by On	e Reporting Per	rson
(City)	(State)	(Zip)						Form filed by Mo Person	re than One Re	porting
		Table I - Non-	Derivative S	ecurities Acq	uired, Dis	posed of, or Benef	icially	Owned		
Date			2. Transaction Date Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day(Year)	3. Transaction Code (Instr.	4. Securities Acquired (A Disposed Of (D) (Instr. 3, 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial

	(	(Month/Day/Year)	8)		,			Owned Following Reported	(I) (Instr. 4)	Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(
Common Stock, \$0.0001 par value	04/30/2020		A	v	187(1)	A	\$82.64	45,214	D	

 

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deriv Secu Acqu (A) o Dispo of (D (Insti	Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) Date Expiration		piration Date Amount of onth/Day/Year) Securities			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)				Title	Amount or Number of Shares				

Explanation of Responses:

1. These shares were acquired by Reporting Person through the Issuer's 2009 Employee Stock Purchase Plan on the most recent semi-annual purchase date, in a transaction that was exempt under both Rule 166-3(c) and Rule 166-3(d).

## Saie-Yau Hui for Daniel Mark

Thompson

\*\* Signature of Reporting Person Date

05/11/2020

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.